

# **Coverdell Education Savings Account Application**

Mail to: GoodHaven Fund c/o U.S. Bank Global Fund Services PO Box 701 Milwaukee, WI 53201-0701 Overnight Express Mail To: GoodHaven Fund c/o U.S. Bank Global Fund Services 615 E. Michigan St., FL3 Milwaukee, WI 53202-5207

» In compliance with the USA PATRIOT Act, all mutual funds are required to obtain the following information for all registered owners and all authorized individuals: full name, date of birth, Social Security number, and permanent street address. This information will be used to verify your true identity. We will return your application if any of this information is missing, and we may request additional information for you for verification purposes. In the rare event that we are unable to verify your identity, the Fund reserves the right to redeem your account as an age-appropriate distribution at the current day's net asset value.

| 1 [    | Designated Beneficiary   Account Holder (Minor)                                                                                                                                                                                                                     |
|--------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|        |                                                                                                                                                                                                                                                                     |
| FIRST  | NAME M.I. LAST NAME                                                                                                                                                                                                                                                 |
| PERM.  | ANENT STREET ADDRESS (P.O. BOX NOT ACCEPTABLE)  CITY / STATE / ZIP                                                                                                                                                                                                  |
| SOCIA  | DATE OF BIRTH (MM/DD/YYYY)  Check if minor should receive statements.                                                                                                                                                                                               |
| 2 F    | Responsible Party                                                                                                                                                                                                                                                   |
|        |                                                                                                                                                                                                                                                                     |
| FIRST  | NAME M.I. LAST NAME                                                                                                                                                                                                                                                 |
| PERM.  | ANENT STREET ADDRESS (P.O. BOX NOT ACCEPTABLE)  CITY/STATE/ZIP                                                                                                                                                                                                      |
| DAYTII | ME PHONE NUMBER RELATIONSHIP TO DESIGNATED BENEFICIARY SOCIAL SECURITY NUMBER                                                                                                                                                                                       |
| BIRTH  | DATE (MM/DD/YYYY) EMAIL ADDRESS                                                                                                                                                                                                                                     |
|        | following 2 options will be added to your account. If you do not want these options, check the boxes below.                                                                                                                                                         |
|        | The responsible party wishes to continue to control the account after the Account Holder attains age of majority in his/her state in accordance with the terms described in the optional portion of Article V of the Coverdell Education Savings Account agreement. |
|        | ☐ The responsible party does not wish to control the account after age of majority.                                                                                                                                                                                 |
|        | The responsible party may change the beneficiary designated under this agreement to another member of the designated beneficiary's amily described in Article VI of the Coverdell Education Savings Account agreement.                                              |
|        | ☐ The responsible party may not change the beneficiary.                                                                                                                                                                                                             |

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| 3 Account Type                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |  |  |  |
|---------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|
| Refer to disclosure statement for                             | eligibility requirements and contribution limits.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |  |  |  |
| Select one of the following                                   | account types:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |  |  |  |
| ☐ Coverdell Education Savin                                   | s Account (CESA)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |  |  |  |
| For Tax Year                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |  |  |  |
| Rollover Account – specify th                                 | type of rollover:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |  |  |  |
| ☐ Account Holder's CES.                                       | to Account Holder's CESA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |  |  |  |
| ☐ Qualifying Family Mem                                       | er's CESA to Account Holder's CESA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |  |  |  |
| ☐ Transfer Account — a direct                                 | transfer from current CESA custodian.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |  |  |  |
| 4 Investment Choice                                           | es                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |  |  |  |
| \$2,500 Minimum                                               | <ul> <li>■ By check: Make check payable to the GoodHaven Fund.         Note: All checks must be in U.S. Dollars drawn on a domestic bank. The Fund will not accept payment in cash or money orders. The Fund does not accept post dated checks or any conditional order or payment. To prevent check fraud, the Fund will not accept third party checks, Treasury checks, credit card checks, traveler's checks or starter checks for the purchase of shares.     </li> <li>■ By wire: Call 855-OK-GOODX (654-6639).         Note: A completed application is required in advance of a wire.     </li> </ul> |  |  |  |
|                                                               | Investment Amount \$                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |  |  |  |
| 5 Automatic Invest                                            | ment Plan (AIP)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |  |  |  |
| Your signed Application must be                               | eceived up to 7 business days prior to initial transaction.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |  |  |  |
|                                                               | will be automatically transferred from your bank account. Please attach a voided check or savings application. We are unable to debit mutual fund or pass-through ("for further credit") accounts.                                                                                                                                                                                                                                                                                                                                                                                                           |  |  |  |
| Draw money for my AIP (                                       | heck one): ☐ Monthly ☐ Quarterly ☐ Semi-Annually ☐ Annually If no option is selected, the frequency will default to monthly.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |  |  |  |
|                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |  |  |  |
| AMOUNT PER DRAW                                               | AIP START MONTH AIP START DAY                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |  |  |  |
| Participation in the plan will                                | ic purchase cannot be made (assessed by redeeming shares from your account). be terminated upon redemption of all shares. the beneficiary (minor) reaches the age of 18.                                                                                                                                                                                                                                                                                                                                                                                                                                     |  |  |  |
| 6 Telephone Option                                            | s                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |  |  |  |
| below. See the prospectus for * You must provide bank instruc | lity to make telephone purchases* or redemptions* per the prospectus, unless you specifically decline minimum and maximum amounts.  ions and a voided check or savings deposit slip in Section 7.                                                                                                                                                                                                                                                                                                                                                                                                            |  |  |  |
| Please check the box below of these options.                  | Please check the box below if you wish to decline these options. If the options are not declined, you are acknowledging acceptance of these options.                                                                                                                                                                                                                                                                                                                                                                                                                                                         |  |  |  |

 $\hfill \square$  I decline telephone transaction privileges.

### 7 Bank Information

If you selected any options which require banking information, please attach a voided check or preprinted savings deposit slip. We are unable to debit or credit mutual fund or pass-through ("for further credit") accounts.

Please contact your financial institution to determine if it participates in the Automated Clearing House System (ACH).

| John Doe<br>Jane Doe<br>123 Main St.<br>Anytown, USA 12345 |                  |     | 53289   |
|------------------------------------------------------------|------------------|-----|---------|
| Pay to the order of                                        | 4010             | _\$ | DOLLARS |
| Memo                                                       | Signed_          |     |         |
| 1:12345…6781:                                              | 1:1234567856781: |     |         |

## 8 Beneficiary Information (Due To Death Account Holder)

| If you need more space | e, please enclose a separate sl | neet of paper. |                        |                 |          |
|------------------------|---------------------------------|----------------|------------------------|-----------------|----------|
| Primary                |                                 |                |                        |                 |          |
|                        |                                 |                |                        |                 |          |
| NAME                   | RELATIONSHIP                    | CITY/STATE/ZIP | SOCIAL SECURITY NUMBER | DATE OF BIRTH   |          |
| NAME                   | RELATIONSHIP                    | CITY/STATE/ZIP | SOCIAL SECURITY NUMBER | DATE OF BIRTH   | <u> </u> |
| IVAIVIL                | TILEATIONSI III                 | OH I/OTATE ZII | GOGIAL SECONITY NOWBEN | DATE OF BITTITI |          |
| NAME                   | RELATIONSHIP                    | CITY/STATE/ZIP | SOCIAL SECURITY NUMBER | DATE OF BIRTH   | %        |
| Secondary              | 1                               | 7              |                        |                 | <b></b>  |
| NAME                   | RELATIONSHIP                    | CITY/STATE/ZIP | SOCIAL SECURITY NUMBER | DATE OF BIRTH   |          |
|                        | THE WORK III                    |                |                        | DATE OF BINNEY  |          |
| NAME                   | RELATIONSHIP                    | CITY/STATE/ZIP | SOCIAL SECURITY NUMBER | DATE OF BIRTH   | →        |
|                        |                                 |                |                        |                 |          |
| NAME                   | RELATIONSHIP                    | CITY/STATE/ZIP | SOCIAL SECURITY NUMBER | DATE OF BIRTH   | %        |

#### 9 Signature

- ✓ I have read and understand the Disclosure Statement and Custodial Account Agreement. I adopt the GoodHaven Fund Custodial Account Agreement, as it may be revised from time to time, and appoint the Custodian or its agent to perform those functions and appropriate administrative services specified. I have received and understand the prospectus for the GoodHaven Fund (the "Fund"). I understand the Fund's objectives and policies and agree to be bound by the terms of the prospectus. Before I request an exchange, I will obtain the current prospectus for each Fund. I acknowledge and consent to the householding (i.e., consolidation of mailings) of regulatory documents such as prospectuses, shareholder reports, proxy statements, and other similar documents. I may contact the Fund to revoke my consent. I agree to notify the Fund of any errors or discrepancies within 45 days after the date of the statement confirming a transaction. The statement will be deemed to be correct, and the Fund and its transfer agent shall not be liable, if I fail to notify the Fund within such time period. I certify that I, as the Responsible Party, am of legal age and have the legal capacity to make this purchase.
- ✓ I understand that the fees relating to my account may be collected by redeeming sufficient shares. The Custodian may change the fee schedule at any time.
- ✓ I understand that my mutual fund account assets may be transferred to my state of residence if no activity occurs within my account during the inactivity period specified in my State's abandoned property laws.
- ✓ The Fund, its transfer agent, and any of their respective agents or affiliates will not be responsible for banking system delays beyond their control. By completing the banking sections of this application, I authorize my bank to honor all entries to my bank account initiated through U.S. Bank, N.A., on behalf of the applicable Fund. The Fund, its transfer agent, and any of their respective agents or affiliates will not be liable for acting upon instructions believed to be genuine and in accordance with the procedures described in the prospectus or the rules of the Automated Clearing House. When AIP or Telephone Purchase transactions are presented, sufficient funds must be in my account to pay them. I agree that my bank's treatment and rights to respect each entry shall be the same as if it were signed by me personally. I agree that if any such entries are not honored with good or sufficient cause, my bank shall be under no liability whatsoever. I further agree that any such authorization, unless previously terminated by my bank in writing, is to remain in effect until the Fund's transfer agent receives and has had reasonable amount of time to act upon a written notice of revocation.

DEPOSITOR / LEGALLY RESPONSIBLE INDIVIDUAL'S SIGNATURE

DATE (MM/DD/YYYY)

Appointment as Custodian accepted: U.S. BANK, N.A.

Joseph Newbyn

#### **10** Dealer Information

| DEALER NAME                     |           | REPRESENTATIVE'S LAST NAME FIRST NAME M.I. |  |  |
|---------------------------------|-----------|--------------------------------------------|--|--|
|                                 |           |                                            |  |  |
| DEALER'S ID                     | BRANCH ID | REPRESENTATIVE'S ID                        |  |  |
| DEALER HEAD OFFICE INFORMATION: |           | REPRESENTATIVE BRANCH OFFICE INFORMATION:  |  |  |
|                                 |           |                                            |  |  |
| ADDRESS                         |           | ADDRESS CODE                               |  |  |
|                                 |           |                                            |  |  |
| CITY / STATE / ZIP              |           | CITY/STATE/ZIP                             |  |  |
|                                 |           |                                            |  |  |
| TELEPHONE NUMBER                |           | TELEPHONE NUMBER                           |  |  |

#### Before you mail, have you:

- ☐ Completed all USA PATRIOT Act required information?
  - Social Security or Tax ID Number in Section 1 & 2?
  - Birth Date in Section 1 & 2?
  - Full Name in Section 1 & 2?
  - Permanent street address in Section 1 & 2?

- ☐ Enclosed your check made payable to GoodHaven Fund?
- ☐ Included a voided check or savings deposit slip, if applicable?
- ☐ Signed your application in Section 9?

For additional information please call toll-free 855-0K-G00DX (654-6639) or visit us on the web at www.goodhavenfunds.com.

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